## Responsibility in verification

Corto Mascle

Joint work with Christel Baier, Florian Funke, Simon Jantsch, Stefan Kiefer, Karoliina Lehtinen

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Agent A is responsible for event E if, had A acted differently, E would not have happened.

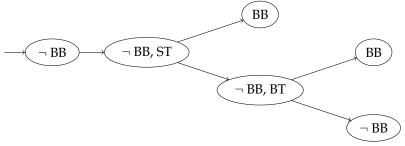
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How do we distribute responsibility fairly?

Their recurring example involves two characters, Suzy and Billy, throwing stones at a glass bottle. We use three variables, BB (the bottle is broken), ST (Suzy throws a stone) and BT (Billy throws a stone).



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The degree of responsibility of state *s* with respect to *a* is  $\frac{1}{m}$ , where *m* is the minimal size of a set of states containing *s* such that flipping the value of *a* in those states makes the system fail the specification.

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Responsibility is often understood either in a binary way (responsible or not), or in a weighted way (we distribute responsibility).

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#### Definition

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We focus on games such that  $\nu(T, P)$  only depends on *T*.

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The **Shapley value** is defined as:

$$\operatorname{Sh}(a) = \frac{1}{n!} \sum_{\pi \in \Pi_n} \nu(\pi_{\geq a}) - \nu(\pi_{\geq a} \setminus \{a\})$$

# Shapley values

The Shapley value function is the only one satisfying the following conditions.

- Efficiency  $\sum_{i=0}^{N} Sh_i(\nu) = \nu(\{1, \dots, N\}, \{\{1, \dots, N\}\})$
- **2** Symmetry Renaming players does not affect their rewards.
- Solution Additivity For all games  $\mu, \nu$ , and  $C \in \mathbb{R}$ ,  $Sh_i(C\mu + \nu) = CSh_i(\mu) + Sh_i(\nu)$ , i.e.,  $Sh_i$  is a linear function.
- Solution Null-Player Axiom If for all  $(T, P) \in \mathcal{C}(N)$ ,  $\nu(T \cup \{i\}, P_{T \leftarrow i}) = \nu(T, P)$  then  $Sh_i(\nu) = 0$ .

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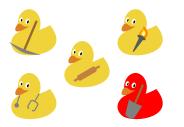
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$$\operatorname{Sh}(a) = \frac{1}{n!} |\{\pi \in \Pi_n \mid a \text{ is decisive for } \pi\}|$$

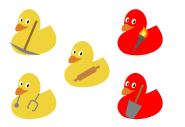
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- $\rightarrow\,$  Agents stop cooperating one by one in a random order.



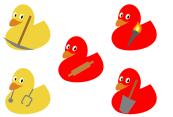
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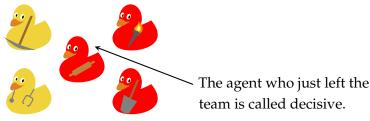
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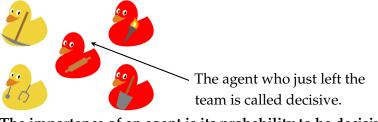
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The importance of an agent is its probability to be decisive.

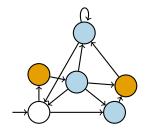
LTL is a logics designed to express properties of infinite words.

$$\varphi ::= a \mid \varphi \land \varphi \mid \neg \varphi \mid X\varphi \mid \varphi U\varphi \mid G\varphi \mid F\varphi$$

For instance, GFa expresses that at all positions of the word there is a further position at which a is true.

Given a Kripke structure *K* and an LTL formula  $\varphi$ .

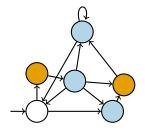
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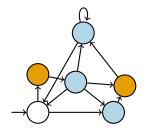


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Which states of *K* are important for  $\varphi$ ?

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A state of *K* is (more) important for  $\varphi$  if **its nondeterminism matters (more)**.

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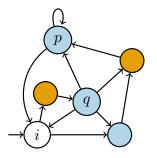
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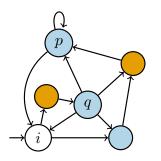
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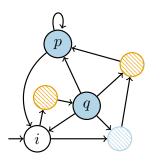
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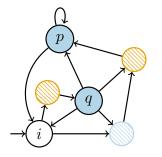
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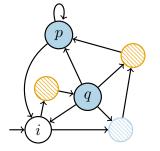


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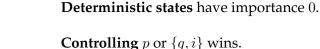
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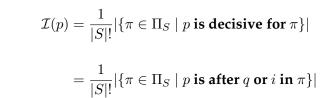
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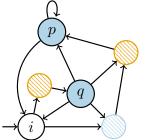
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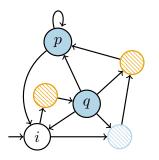
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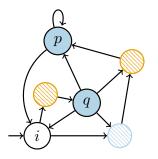


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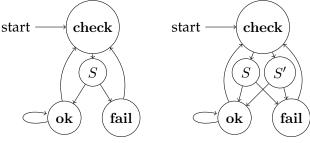
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 $\mathcal{I}(i) = \mathcal{I}(q) = 1/6$ 

### Comparison

A server is tested by sending requests. If the server fails to answer, it is tested again, otherwise the system may wait before testing again.



 $\varphi = GF\operatorname{\mathbf{check}}\wedge FG\,\neg\mathbf{fail}$ 

We obtain an importance of  $\frac{1}{2}$  for *S* and *ok* in the first example,  $\frac{1}{2}$  for *ok* and  $\frac{1}{6}$  for *check*, *S* and *S'* in the other.

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Is *C* enough to make the system work?

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**Importance computation problem**. Given  $q \in S$ :

compute  $|S|! \cdot \mathcal{I}(q)$ 

#### TABLE I A SUMMARY OF THE RESULTS ON THE COMPLEXITY OF THE VALUE, USEFULNESS AND IMPORTANCE PROBLEMS FOR VARIOUS TYPES OF SPECIFICATIONS.

	Büchi	Rabin	Streett	Parity	Explicit Muller
Value	Р	NP	CONP	$\in NP  \cap  CONP$	Р
Usefulness	NP	$\Sigma_2^{\mathbf{p}}$	$\Sigma_2^{\mathbf{p}}$	NP	NP
Importance	#P	#P <sup>NP</sup>	#P <sup>NP</sup>	#P	#P
	Emerson-Lei	LTL	2-turn CTL	Concurrent CTL	
Value	Emerson-Lei PSPACE	LTL 2ExpTime	2-turn CTL $\Sigma_2^P$	Concurrent CTL ∈ ExpTIME	
Value Usefulness					

### Mitigating the complexity

• We do not consider single states but sets of states corresponding to parts of the system (less syntax-sensitive).

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- Probabilistic approximations are enough for our purpose: Just draw orders at random and do a dichotomic search for the critical state.

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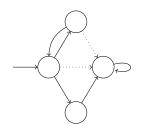
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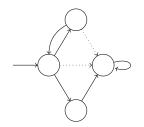


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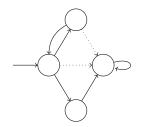
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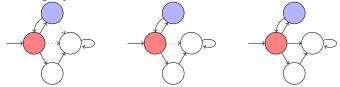


It is not clear how to design a **turn-based game**.

We considered **one-shot** games instead.

We consider two interpretations for CTL:

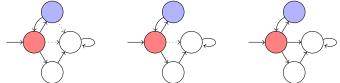
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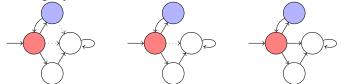


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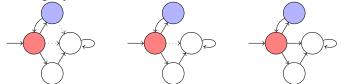
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- Players may use randomized strategies to choose their transitions.
- Computing the value of a set of states comes down to solving a linear optimization problem with exponential input.



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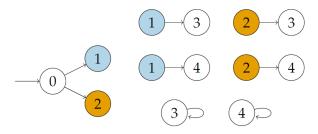
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A *linear strategy* is a function  $\sigma : E^* \to E$ .

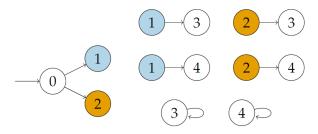
Sat (resp. Unsat) wins if they have a strategy to guarantee that the resulting tree (un)satisfies the objective. The game is sometimes undetermined.

### Example of undeterminacy



In this example we consider the CTL formula  $EF3 \wedge EF4$ .

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#### Question

Which tree objectives allow tree games to be determined?

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- $\rightarrow EFa$  is a determined language.
- $\rightarrow AG(a \lor EFb)$  as well.
- $\rightarrow AG(EFa \wedge EFb)$  is not.

#### Definition

A *game automaton* is an alternating tree automaton in which a pair (q, i) appears at most once in each transition.

#### Proposition

Languages expressed by game automata yield determined tree games.

However there exist languages non expressible by game automata which yield determined tree games. It is the case with the language of trees having countably many branches fully labelled with *a*.

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- $\rightarrow\,$  Extension to probabilistic systems.
- $\rightarrow$  Control point of view (make the adversary all-knowing)

# Thank you for your attention!