

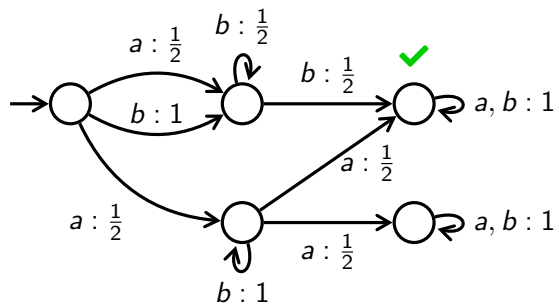
The Short-Ends Factorisation Theorem and applications

Corto Mascle
MPI-SWS Kaiserslautern

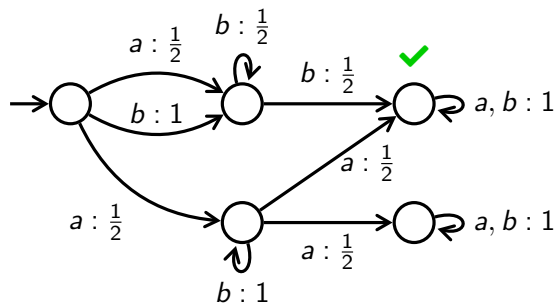
Based on joint work with

Hugo Gimbert + Patrick Totzke and Richard Mandel + Georg Zetsche
LaBRI, Bordeaux University of Liverpool MPI-SWS Kaiserslautern

Controlling a random population

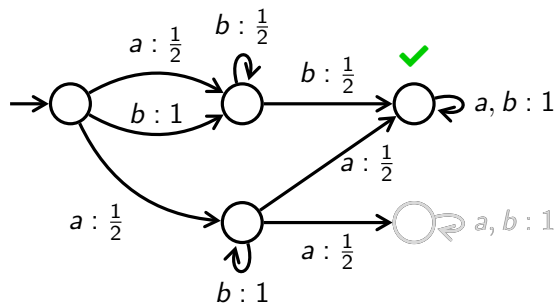


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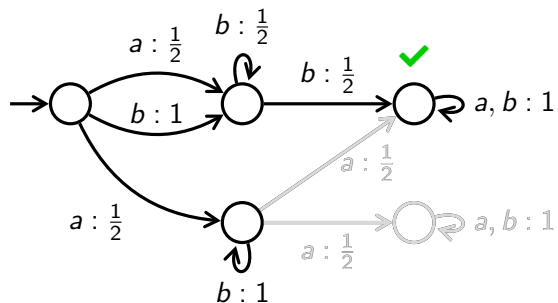
Given a Markov decision process, make it reach  with probability 1.

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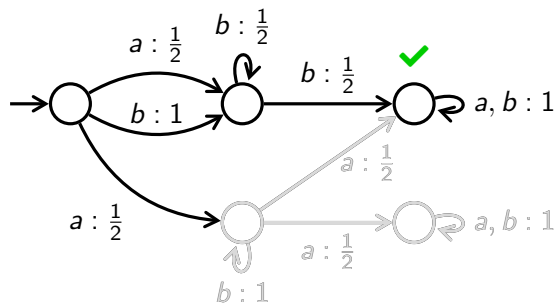
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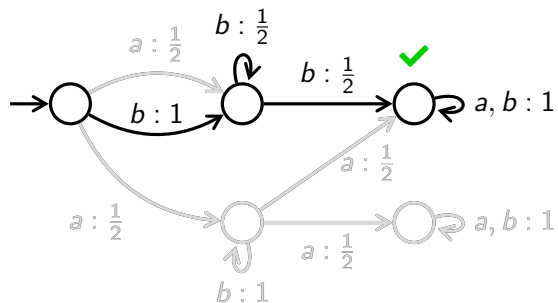
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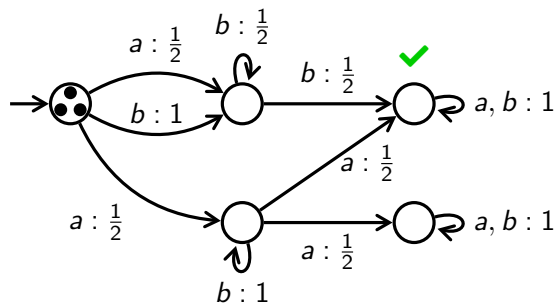
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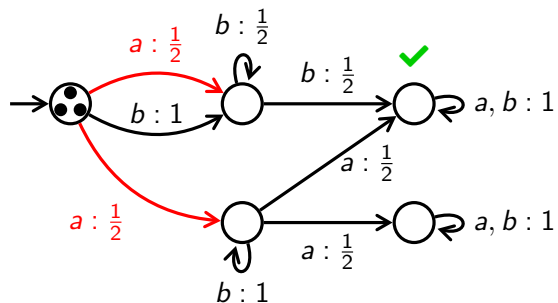
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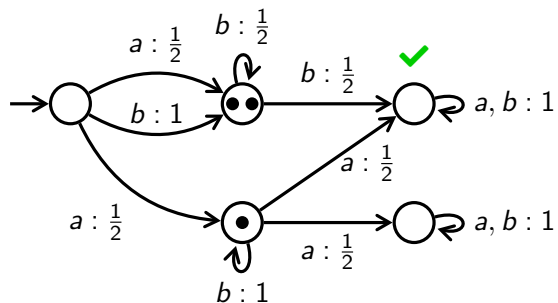
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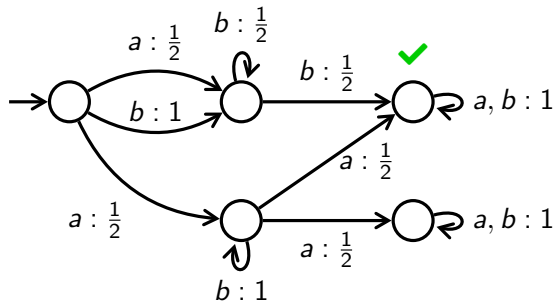
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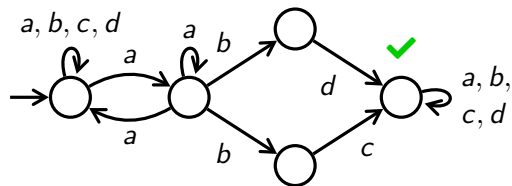
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Given N identical Markov decision process, make them all reach ✓ with probability 1.

$\Rightarrow$ Product of N MDPs.

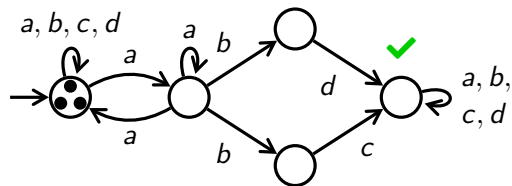
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Random population control problem

Given an MDP $\mathcal{M}$, is there a winning strategy against N tokens, for all N ?

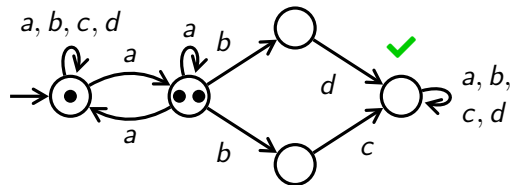
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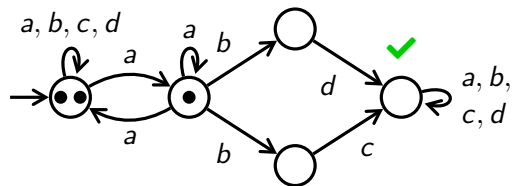
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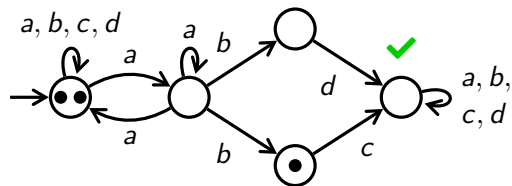
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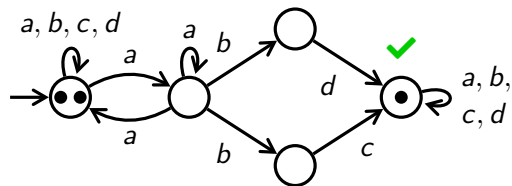
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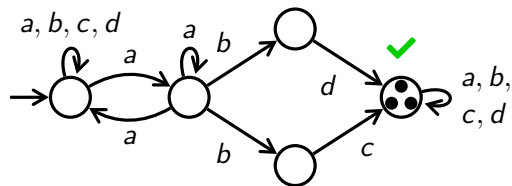
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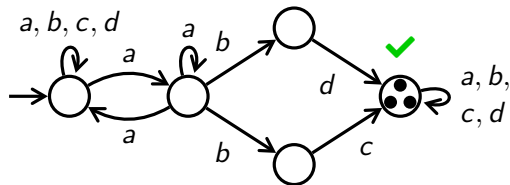
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Adapted from [Bertrand Dewaskar Genest Gimbert '15]

There are MDPs of size k for which we can win against 2^{2^k} tokens and not more.

Controlling a random population

- ▶ Less tokens is always better $\rightsquigarrow$ The set of winning configurations is *downward-closed*.

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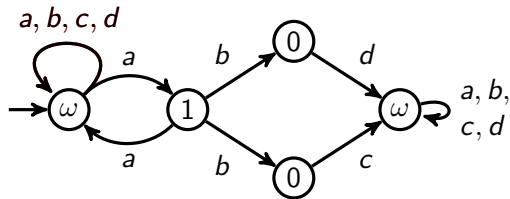
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- ▶ Downward-closed sets of configurations can be represented as finite unions of *ideals*.

$$(\omega, 5, \omega, 8) \downarrow \cup (4, \omega, \omega, 4) \downarrow \cup (9, 6, 1, 4) \downarrow$$

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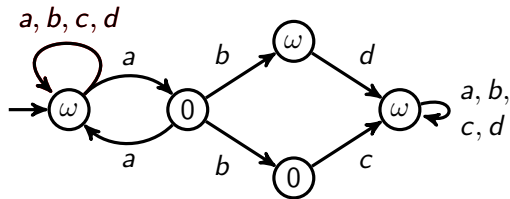
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Controlling a random population [Colcombet Fijalkow Ohlmann '20]

Given N identical Markov decision processes, make them all reach  with probability 1.

```
 $S \leftarrow \mathbb{N}^Q$   $\rightarrow$  configurations  
 $C \leftarrow \mathbb{N}^Q \times \Sigma$   $\rightarrow$  commits  
while not fixpoint do  
  if  $\exists (s, a) \in C, s \xrightarrow{a} s', s' \notin S$  then  
     $C \leftarrow C \setminus \{(s, a)\} \uparrow$   
  if  $\exists s \in S$ , no path from  $s$  to  $F$  in  $C$  then  
     $S \leftarrow S \setminus \{s\} \uparrow, C \leftarrow C \cap S \times \Sigma$   
return  $I \subseteq S$ 
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The remaining problem

$$C = ((\omega, 5, \omega, 8), a) \downarrow \cup ((4, \omega, \omega, 4), a) \downarrow \cup ((9, 6, 1, 4), b) \downarrow$$

Is there a path in C from every configuration in $(\omega, 5, \omega, 8) \downarrow$ to $(0, 0, 0, \omega) \downarrow$?

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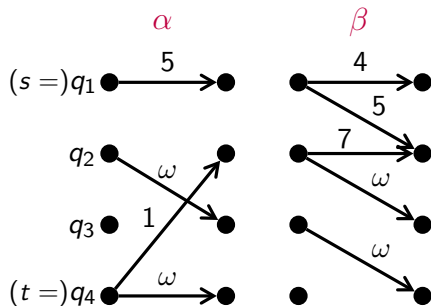
Put constraints on transitions instead of states!

Sequential Flow Problem

A *tile* is a function $Q \times Q \rightarrow \mathbb{N} \cup \{\omega\}$
describing *capacities*.

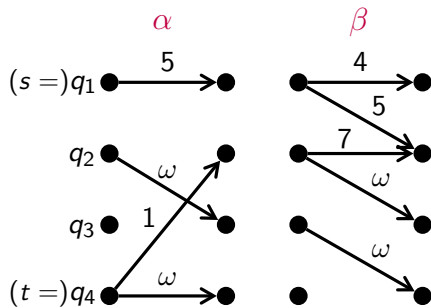
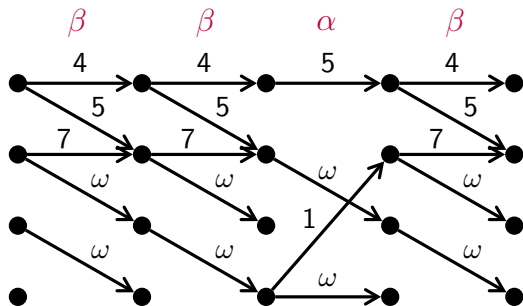
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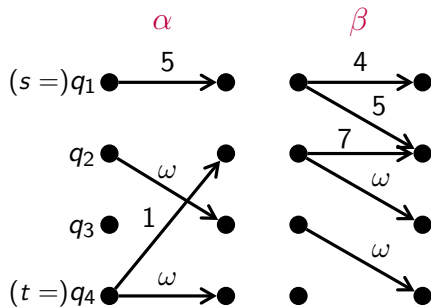
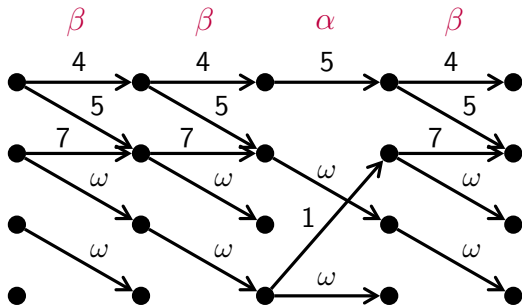
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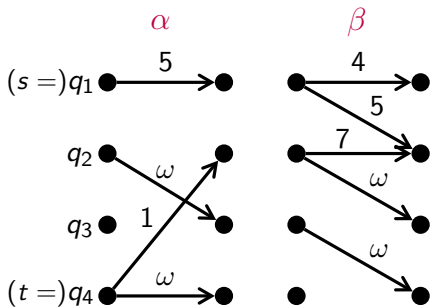
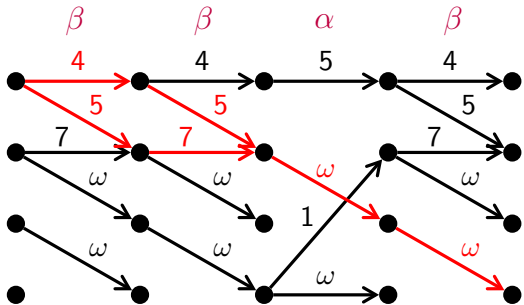
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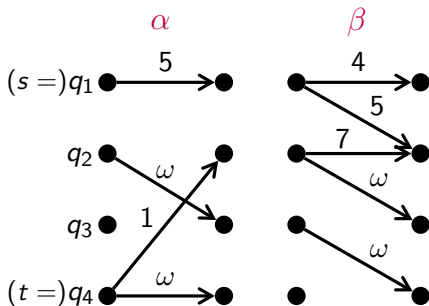
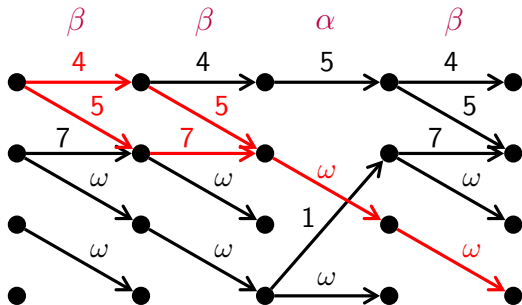
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Problem

Input: *A set of tiles* **Tiles**

Output: $Is \{ \text{MaxFlow}(w) \mid w \in \mathbf{Tiles}^* \}$
unbounded?

Sequential Flow Problem (SFP)

[Blumensath Colcombet Parys '16]

Satisfiability in some extension of MSO
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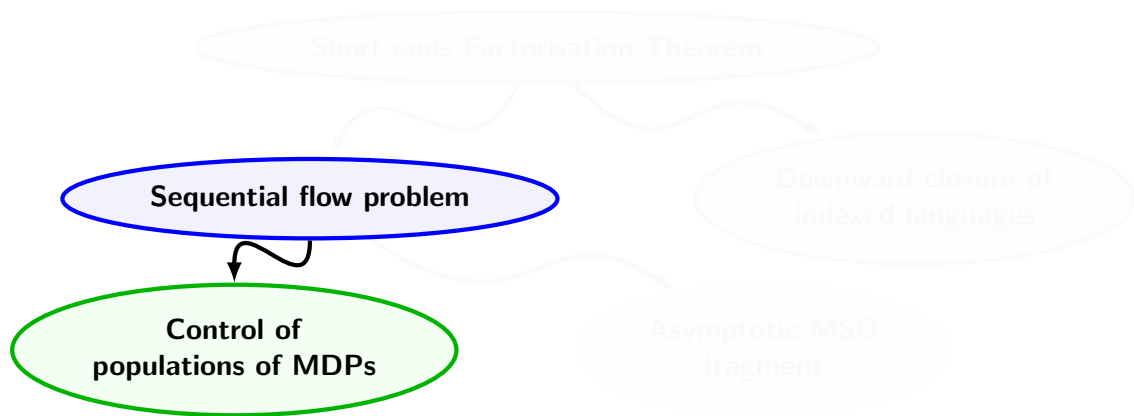
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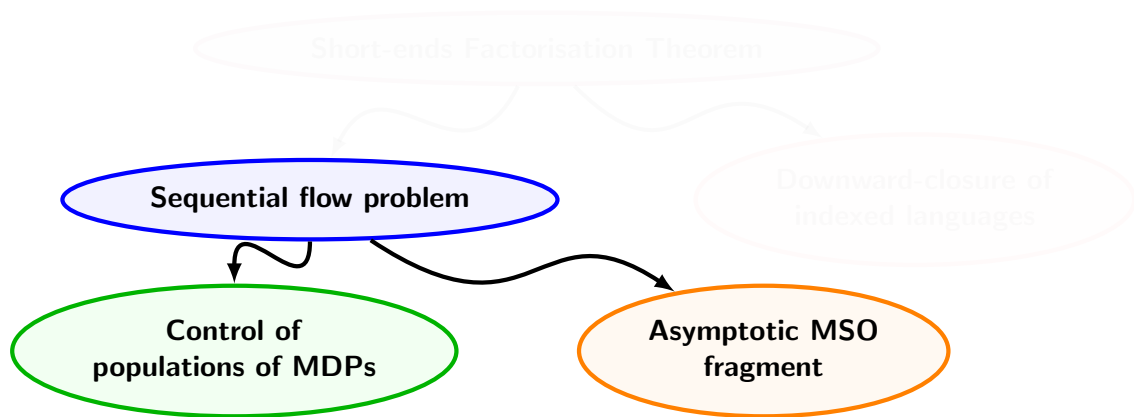
Theorem ([GMT '25])

The Randomised Population Control Problem is EXPTIME-complete.

Summary



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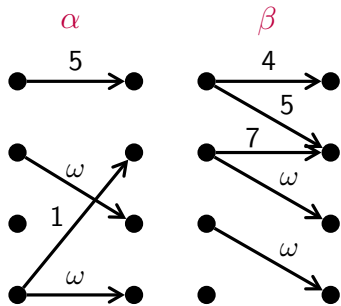
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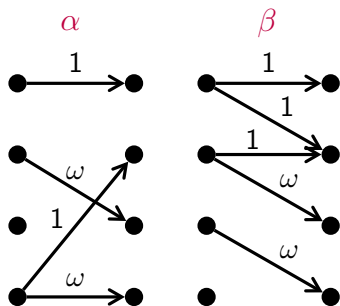
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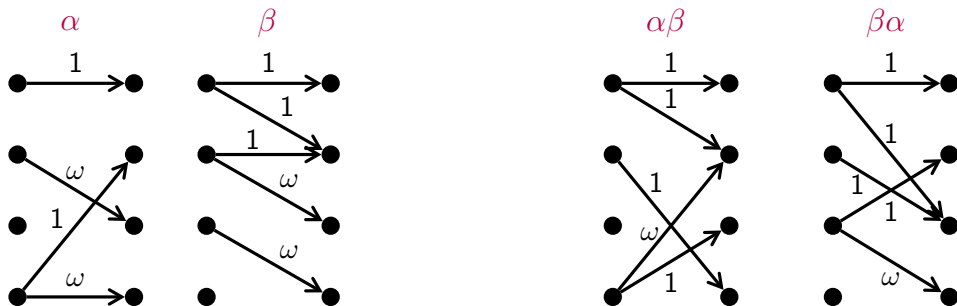


Abstraction



Morphism $\varphi : \mathbf{Tiles}^* \rightarrow \{0, 1, \omega\}^{Q \times Q}$ with max-min product.

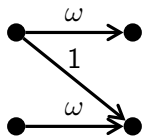
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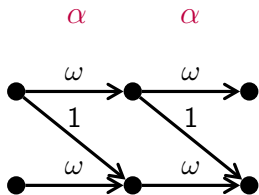
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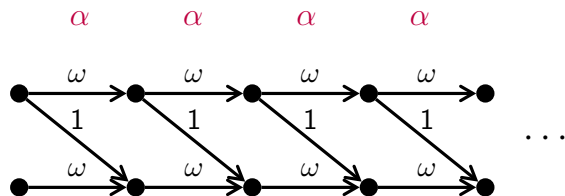
α



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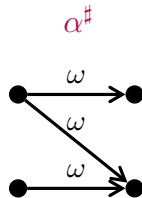
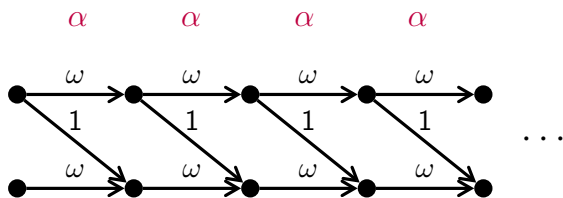


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$\alpha^N = \alpha$ (α is *idempotent*) but α^N has flow N .

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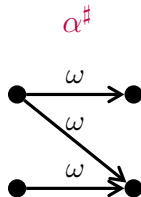
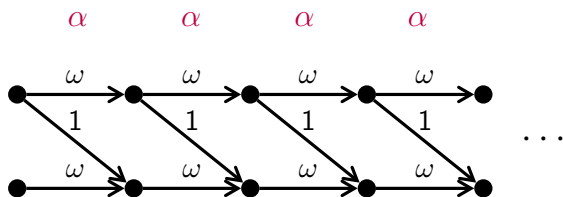


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Define a new operator $\sharp$ on idempotents:

$$\alpha^\sharp(s, t) = \begin{cases} \omega & \text{if } \exists s_0, t_0, s \xrightarrow{\omega} s_0 \xrightarrow{1} t_0 \xrightarrow{\omega} t \text{ in } \alpha \\ \alpha(s, t) & \text{otherwise} \end{cases}$$

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If $\alpha^\sharp(s, t) = \omega$ then we have unbounded flows between s and t .

Abstraction

$\mathcal{F}_{\#}$ the closure of $\varphi(\mathbf{Tiles})$ under product and $\#$.

Lemma

$\mathcal{F}_{\#}$ contains some α with $\alpha(s, t) = \omega$ if and only if there are unbounded flows between s and t .

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Checkable in PSPACE!

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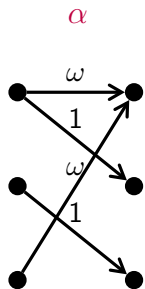
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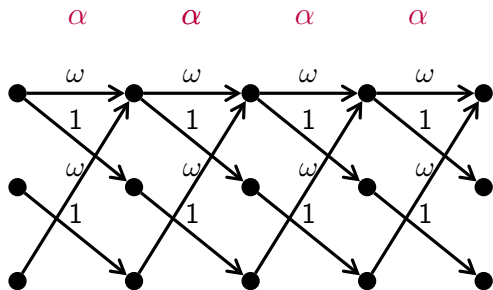
Checkable in PSPACE!

How large can a bounded flow be?

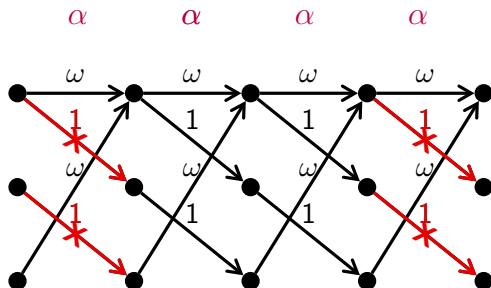
Iteration dichotomy



Iteration dichotomy



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For all idempotent α and $s, t \in Q$,

- ▶ either $\alpha^\sharp(s, t) = \omega$
- ▶ or there is a cut between s and t in the first and last α .

Short-ends factorisation theorem (based on work by [Simon '90], [Colcombet '11])

Σ finite alphabet, $(\mathbf{M}, \cdot)$ finite monoid, $\varphi : \Sigma^* \rightarrow \mathbf{M}$ morphism.

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Factorisation tree: Node labels in $\Sigma^* \times \mathbf{M}$.

3 types of nodes:

Leaves

$$(a, \varphi(a))$$

Product nodes

$$\begin{array}{c} (uv, x \cdot y) \\ / \quad \backslash \\ (u, x) \quad (v, y) \end{array}$$

Idempotent nodes

$$\begin{array}{c} (u_1 u_2 \cdots u_n, e) \\ / \quad \backslash \\ (u_1, e) \quad (u_n, e) \end{array}$$

$\varphi(u_i) = e$ for all i .

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Example on the board $\longrightarrow$

Ramsey bounds

$R_{\mathbf{M}}(k)$ = minimal n such that every word w of length n has a factor $u_1 \dots u_k$ with $\varphi(u_1) = \dots = \varphi(u_k) = e$ an idempotent of $\mathbf{M}$.

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Theorem

For all $w \in \Sigma^$, there is a factorization tree of height $\text{poly}(\log(\mathbf{M}), \log(R_{\mathbf{M}}(3)))$.*

Corollary

In a transition monoid of dimension n , every word has a factorization tree of height $\text{poly}(n)$.

Based on bounds by [Jecker '21].

Exponential bound

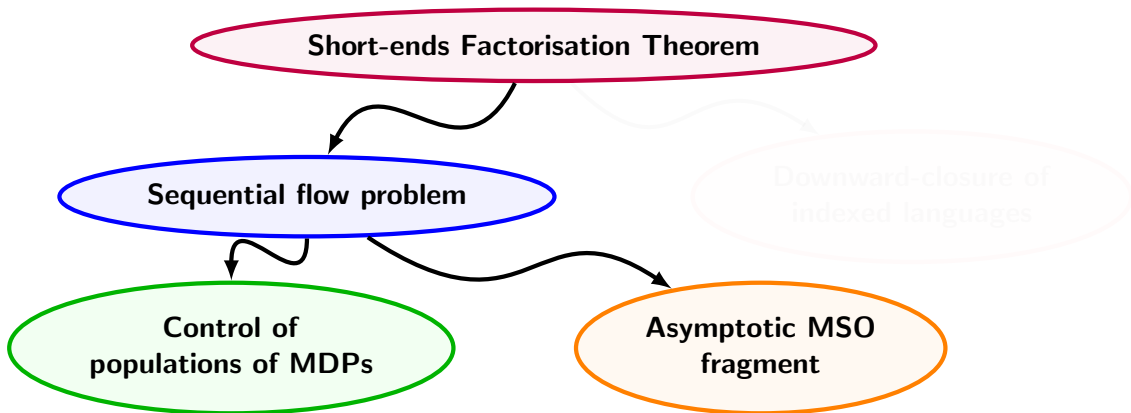
We can show:

- ▶ Every word has a factorisation of polynomial height in the flow monoid.
- ▶ If there is a bounded cut between s and t in all w , then there is one that lives within the factorisation.

Theorem

If the flow between s and t is bounded then it is at most exponential in $|Q|$.

Summary



Another application: Indexed grammars

Indexed grammar = Context-free grammar where each non-terminal carries a stack.
 N set of *non-terminals*, T set of *terminals*, Γ set of *stack symbols*.

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$$A \rightarrow w \in T^*$$

$$A \rightarrow BC$$

$$A \xrightarrow{\text{push}(\gamma)} B\gamma$$

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Indexed grammars : Examples

$$S \xrightarrow{\text{push}(\gamma_{\perp})} S\gamma_{\perp}$$

$$S \xrightarrow{\text{push}(\gamma_a)} S\gamma_a$$

$$S \xrightarrow{\text{push}(\gamma_b)} S\gamma_b$$

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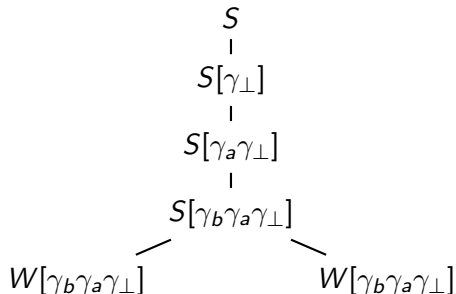
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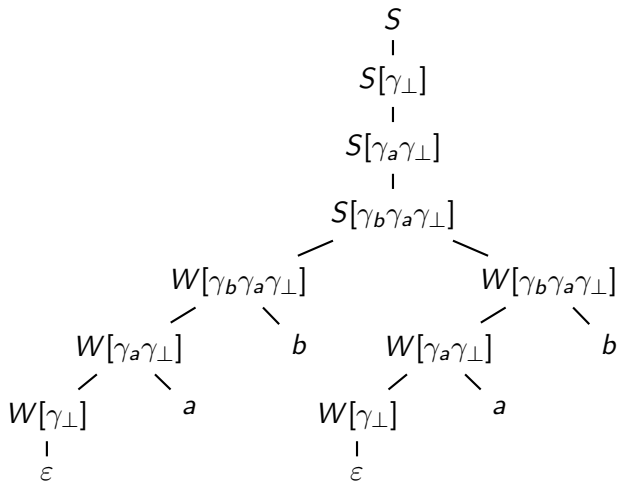
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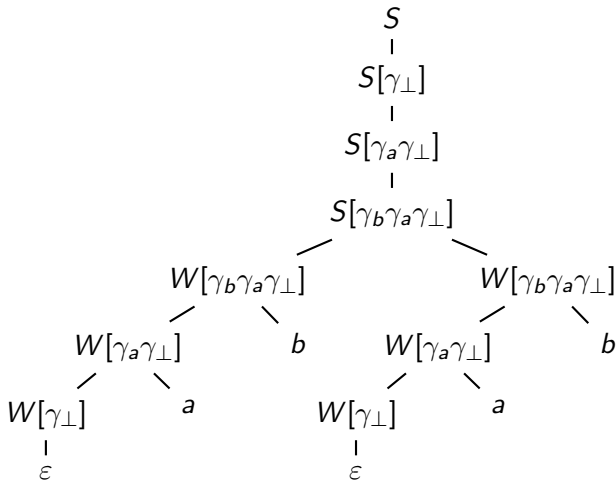
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$$\{ww \mid w \in \{a, b\}^*\}$$



Subword-closures

Subword

w is a **subword** of w' ($w \preceq w'$) if we can remove letters of w' to get w .

Ex: *bab* is a subword of *abbaba*.

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Given a class of languages $\mathcal{C}$, is the subword-closure *computable* for these languages? How large is the resulting automaton?

- ▶ Verification of thread pools with bounded context switching
- ▶ Separation by piecewise-testable languages
- ▶ Lossy channel machines

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[Courcelles '91]

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Subword-closure for indexed grammars

Given an indexed grammar $\mathcal{I}$ of size k , how large can an automaton recognising $L(\mathcal{I}) \downarrow$ be?

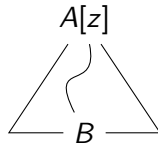
Production monoid

A stack content $z \in \Gamma^*$ is mapped to a boolean matrix $M(z) \in \{\top, \perp\}^{N \times N}$.

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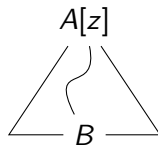
$M(z)(A, B) = \top$ if and only if we can obtain a B from $A[z]$.



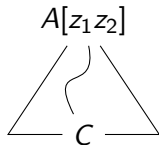
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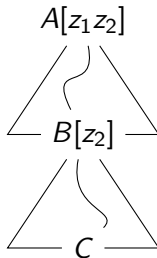
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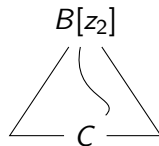
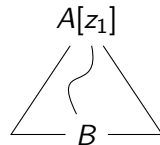
M is a morphism: $M(z_1 z_2) = M(z_1)M(z_2)$ because



$\Leftrightarrow \exists B,$



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Abstraction

Replace stacks with their short-ends factorization in the production monoid!

Lemma

The short-ends factorization of γz is determined by the one of z .

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Lemma

The short-ends factorization of γz is determined by the one of z .

For each γ define push_γ the function mapping the factorization of z to the factorization of γz and $\text{pop}_\gamma = \text{push}_\gamma^{-1}$.

Abstraction

Define a context-free grammar with non-terminals $A[\sigma]$,

- ▶ $A \in N$
- ▶ σ short-ends factorisation

$$A \rightarrow w \in T^*$$

$$A \rightarrow BC$$

$$A \xrightarrow{\text{push}(\gamma)} B\gamma$$

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$\Rightarrow$

$$A[\sigma] \rightarrow w \in T^*$$

$$A[\sigma] \rightarrow B[\sigma]C[\sigma]$$

$$A[\sigma] \rightarrow B[\text{push}_\gamma(\sigma)]$$

$$A[\sigma] \rightarrow B[\text{pop}_\gamma(\sigma)]$$

Theorem

This CFG has the same subword-closure as the indexed grammar.

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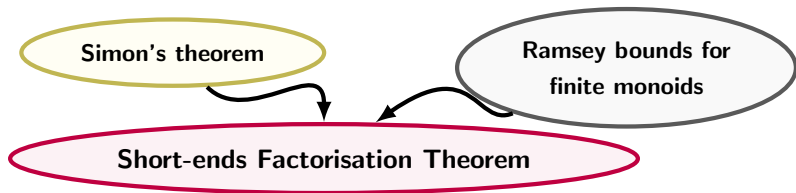
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Extensions to higher-order pushdown automata...

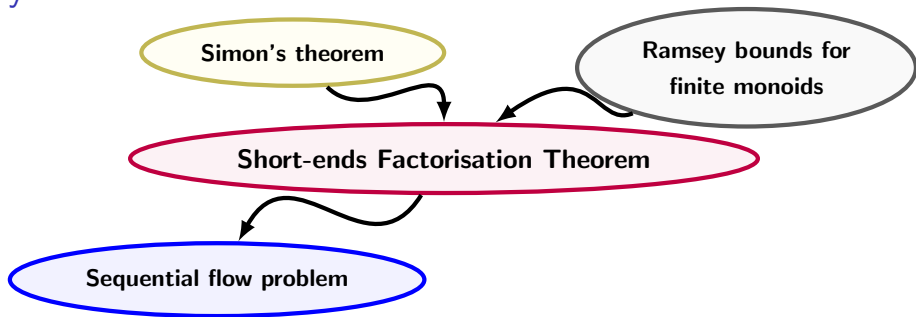
Summary

Short-ends Factorisation Theorem

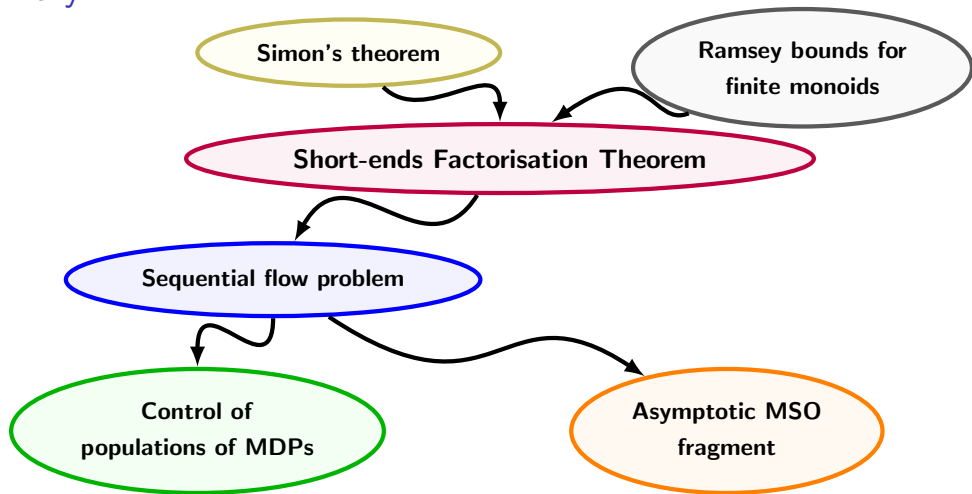
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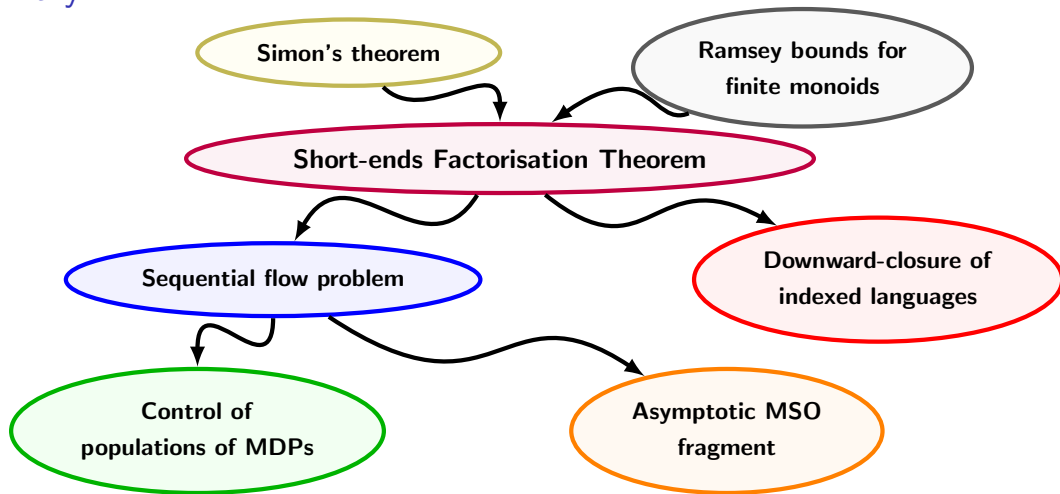
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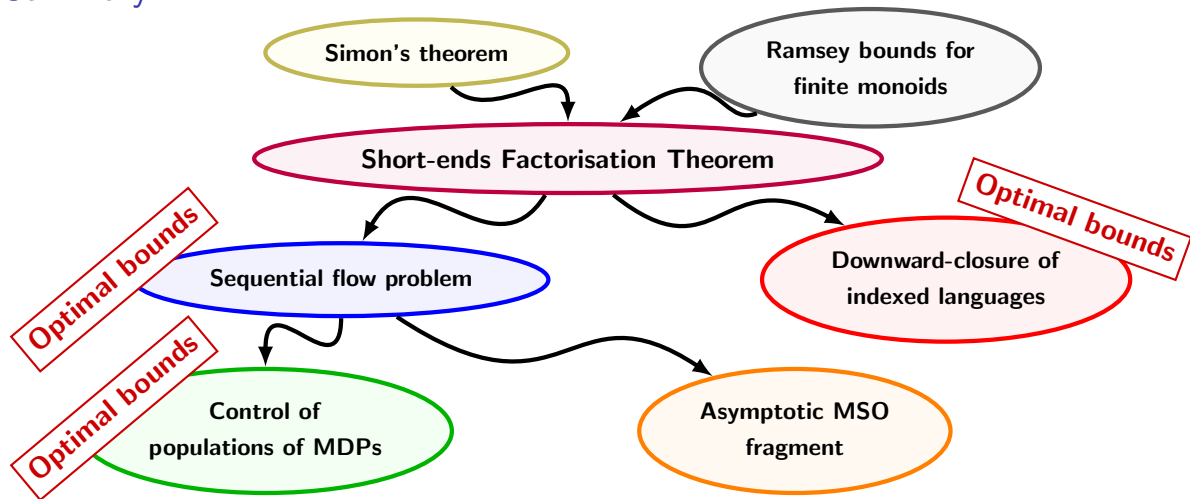
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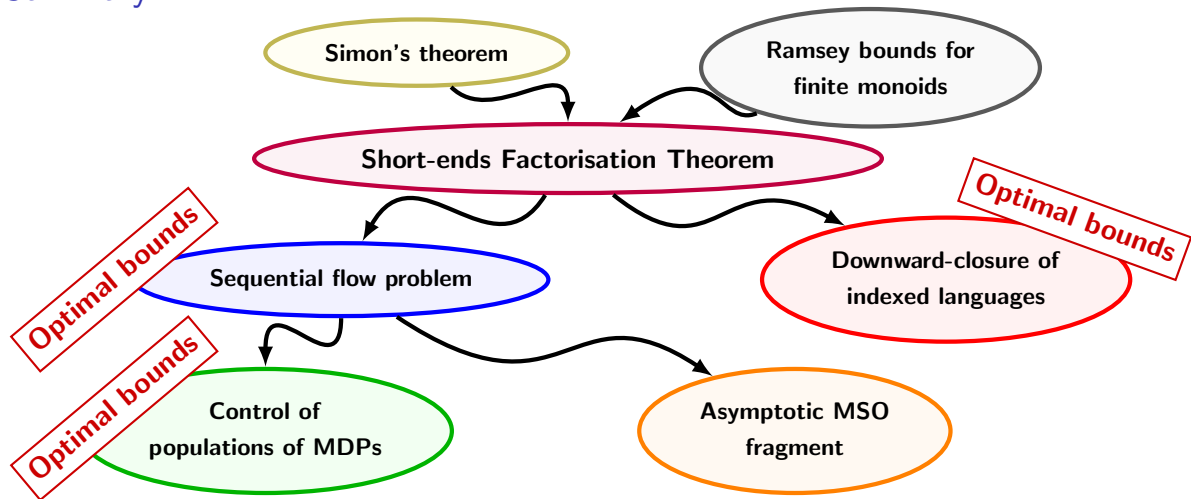
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Thanks !